













APPENDIX 1

Health & Social Care Integration Joint Board - Internal Audit recommendations – Update on Progress as at 26.11.21

Code	Title	Risk Rating	Status	Progress 26.11.21	Original Due Date	Due Date	Managed By	Assigned To	Update 26.11.21
Scottish Borders Health and Social Care Integration Joint Board 2020/21 (Final Report Issued 26 May 2021)									
AUDIT.138	(2019/20 HSC IJB Rec 1) Corporate Governance – Chief Financial Officer The Chief Financial Officer role is being fulfilled jointly by the NHS Borders and Scottish Borders Council Finance Directors. Steps are still required to recruit to the IJB CFO role on a permanent basis.	Medium	 Overdue	20%	31/12/20	31/10/21	 Chief Officer Health & Social Care Integration	 Chief Officer Health & Social Care Integration	Job description has been reviewed by the Chief Officer and Directors of Finance, NHSB and SBC. HR have reviewed grading and the job will be submitted through the vacancy control process in the week commencing 29 November 2021. Request extension to due date to 31 March 2022.
AUDIT.139	(2019/20 HSC IJB Rec 2) Strategic Planning – Directions A detailed annual report setting out the Directions that the IJB has made to partner organisations would ensure that clarity and transparency can be demonstrated and aligned to performance and financial reporting.	Medium	 In progress	40%	31/12/20	31/03/22	 Chief Officer Health & Social Care Integration	 Chief Officer Health & Social Care Integration	The Chief Officer has discussed the development of Directions in a paper that went to the SPG in November 2021. In addition, a Directions policy has been discussed with the IJB Planning and Performance Officer. A paper will go to the IJB on 15 December 2021 outlining the future approach for approval. To date no Directions have been issued by the Scottish Borders IJB.
AUDIT.140	(2019/20 HSC IJB Rec 3) Workforce Planning Framework The Health and Social Care Partners should develop the Workforce Planning Framework and the full Workforce Plan in order to support the delivery of the new SBIJB Strategic Commissioning Plan.	Medium	 In progress	10%	31/12/20	31/03/22	 Chief Officer Health & Social Care Integration	 Chief Officer Health & Social Care Integration	This has been discussed with the Directors of HR/People, NHSB and SBC. A paper will be reviewed at the SIP Oversight Board outlining the need for an integrated workforce plan. A paper summarising this and the Internal Audit recommendation will be submitted to the IJB on 15 December 2021, seeking a Direction to commence this work.
AUDIT.141	(2020/21 HSC IJB Rec 4) Corporate Governance – MSG / Best Value Updates on progress against the MSG Self Evaluation HSCP Action Plan and Best Value Areas of Improvement should be provided to the SBIJB Audit Committee every 6 months.	Medium	 Overdue	<div>0%</div>	31/10/21	31/10/21	 Chief Officer Health & Social Care Integration	 Chief Officer Health & Social Care Integration	Request extension to due date to 31 March 2022 to enable new Chief Officer to progress.